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# Electron density retrieval from truncated Radio Occultation GNSS data

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## Key Points:

- New techniques are proposed for obtaining electron density profiles from incomplete radio-occultation data.
- AVHIRO technique generates accuracies at 7% relative error, with computing time of 20 minutes in an Intel i7 standard PC.
- SEEIRO generates accuracies at 11% relative error, about 60 times faster than AVHIRO, suitable for real time applications.
- These are likely the first techniques designed to invert upper-side truncated RO profiles.

**Abstract**

This paper summarizes the definition and validation of two complementary new strategies, to invert incomplete Global Navigation Satellite System (GNSS) Radio-Occultation (RO) ionospheric measurements, such as the ones to be provided by the future EUMETSAT Polar System 2nd Generation (EPS-SG). It will provide RO measurements with impact parameter much below the LEO height (817 km): from 500 km down approximately.

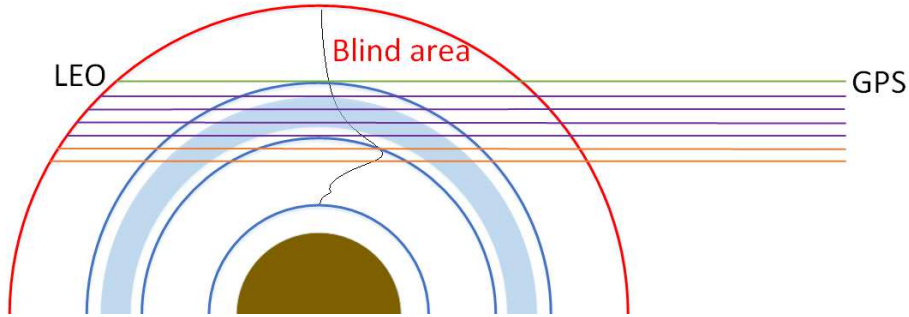
The first presented method to invert truncated RO data is denoted as Abel-VaryChap Hybrid modeling from topside Incomplete GNSS RO data (AVHIRO), based on simple First Principles, very precise, and well-suited for post-processing. And the second method is denoted as Simple Estimation of Electron density profiles from topside Incomplete RO data (SEEIRO), is less precise but yields very fast estimations, suitable for Near Real-Time (NRT) determination. Both techniques will be described and assessed with a set of 546 representative COSMIC/FORMOSAT-3 ROs, with relative errors of 7% and 11% for AVHIRO and SEEIRO respectively, with 20 minutes and 15 seconds respectively of computational time per occultation in our Intel I7 PC.

**1 Introduction**

The increase of GNSS RO measurements, such as GPS/MET (Hernández-Pajares, Juan, & Sanz, 2000) CHAMP (Jakowski et al., 2002), COSMIC/FORMOSAT-3 (Olivares-Pulido, Hernández-Pajares, Aragón-Ángel, & García-Rigo, 2016), GRACE, EPS-SG (Hernández-Pajares et al., 2017), PAZ (Cardellach et al., 2019) and FY3C/GNOS RO (Mao et al., 2016), has allowed for new developments in ionospheric sounding, disposing so far of the complete set of RO measurements, i.e. those with negative elevations referred to the LEO horizon.

However, in this paper we deal with an initial limitation affecting some missions presently under preparation like EPS-SG: the lack of the topside part of RO observations. A related situation, but without the truncation of RO measurements, happens in the electron density reconstruction from radio occultation data measured by lower altitude LEO, e.g. CHAMP. To overcome the upper boundary problem, the inversion assisted by an adaptive electron density model of the topside ionosphere and plasmasphere was proposed (Jakowski et al. 2002). But the discontinuity at the transition height should be treated with care. While for truncated radio occultation data we have a double challenge: (1) the missing observations for a significant part of the RO measurements (more than 40% for EPS-SG), and (2) the longer length through the blind area makes the retrieval results more sensitive to the accuracy of the model. This last point has required the implementation of an still more realistic topside electron density model, the linear Vary-Chapman one, taking advantage of recent results. We showed in (Hernández-Pajares et al., 2017) that once the electron density profile is well known below such ceiling height, e.g. 500 km, it can be easily and accurately extrapolated. In this manuscript we will focus on the pending previous problem, that is, how to determine the electron density profile under such topside-truncated set of dual-frequency RO GNSS measurements, gathered from the LEO height, e.g. approximately 800 km, paying attention to the accuracy but also to the computational load.

Indeed, the dual-frequency measurements provided by GNSS receivers on board Low Earth Orbiters (LEO) in RO scenarios, with negative elevation angle, are very sensitive to vertical variability. This fact allows the retrieval of the electron density profiles below the LEO height. The new EPS-SG satellites at 817 km height are conceived for neutral atmospheric sounding. Nevertheless this provides also opportunities for ionospheric sounding, but with RO measurements only taken with impact parameter height below 500 km (see layout in Figure 1). Some aspects of the electron density retrieval and impact on EPS-SG have been already studied, in particular a new electron density Vary-Chapman Extrapolation Technique (VCET) for impact parameters of 500km up to the



**Figure 1.** Layout of the incomplete RO measurements scenario studied in this work, showing up, as conceptual example, some transmitter-receiver rays illuminating three layers, in green, magenta and orange colors. The height interval used to fit the Vary-Chap model is also represented (light blue color).

69 EPS-SG orbital height (Hernández-Pajares et al., 2017). This model can be considered  
 70 an improvement of previous extrapolation approaches, e.g. (Jakowski, 2005), which as-  
 71 sumed a fixed scale height Chapman model for ionosphere in combination with an ex-  
 72 ponential model for plasmasphere. VCET is based on the predominant linear increase  
 73 observed above hmF2 of scale height, due to its proportional dependence on tempera-  
 74 ture, as it was shown in (Olivares-Pulido et al., 2016), and in the recent climatic mod-  
 75 els (Prol, Hernández-Pajares, Camargo, & Muella, 2018) that are based as well on top-  
 76 sounding data (Prol, Themens, Hernández-Pajares, Camargo, & Muella, 2019). VCET  
 77 assumes the availability of a properly estimated electron density profile.

78 Nevertheless before applying such extrapolation, there is the need of an accurate  
 79 estimate of the electron density profile below the first impact parameter height with mea-  
 80 surements (500 km). In this regard we introduce two new techniques: the Abel-VaryChap  
 81 Hybrid, and the Simple Estimation of Electron density profiles, both modeling from top-  
 82 side Incomplete RO data (AVHIRO and SEEIRO, respectively). The two approaches do  
 83 not depend on external models or data beyond the radio-occultation measurements. AVHIRO  
 84 prioritizes the accuracy and SEEIRO prioritizes the estimation computing time in order  
 85 to allow near real-time usage. The assessment of both techniques is presented with mea-  
 86 surements of COSMIC/FORMOSAT-3 truncated up to 500 km, during 4 representative  
 87 periods, by comparing the electron density profiles with the corresponding ones obtained  
 88 from the full radio-occultation measurements.

89 The starting point for both approaches is the set of radio-occultation measurements  
 90 gathered from the LEO, in our case the COSMIC/FORMOSAT-3 at a height of about  
 91  $r_L = 800$  km. And they are truncated to a maximum impact parameter height equal  
 92 to the expected value of  $r_0 = 500$  km for EPS-SG. The dual-frequency ionospheric phase  
 93 combinations,  $L_I = L_1 - L_2$ , are corrected by subtracting the Slant Total Electron  
 94 Content (STEC) above the LEO orbit. These STEC values are computed from the Pre-  
 95 cise Orbit Determination (POD) antenna measurements, i.e. with positive elevations,  
 96 by means of a dual-layer tomographic voxel model which simultaneously estimate the  
 97 electron density of the topside voxels and the carrier phase ambiguities, as described in  
 98 (Hernández-Pajares et al., 2017).

## 2 Abel-VaryChap Hybrid modeling from topside Incomplete Radio Occultation data (AVHIRO)

The first method that we introduce in this paper is the hybrid approach- AVHIRO, which consists of Abel inversion and Vary-Chap model. It synergistically solves the full electron density, ambiguity term and four parameters of Vary-Chap model at the same time, taking into account the nonlinear interactions between the unknown parameters. As it has been indicated above, Vary-Chap model summarizes the expected distribution of the topside electron density. And it is applied as constraint to improve the accuracy of the overall electron density estimation. Specifically, and following Figure 1, we can relate the ionospheric combination in length units,  $L_I = L_1 - L_2$ , where  $L_1$  and  $L_2$  are the carrier phases measured in frequencies  $f_1$  and  $f_2$ , with the known crossing lengths  $l_{j,k}$  of the corresponding  $j$ -th line-of-sight with each given  $k$ -th layer, and with the unknown electron density values  $N$  and carrier phase ambiguity in length units  $B_I$ . Following (Hernández-Pajares et al., 2011),  $B_I$  contains the integer terms in cycles,  $\lambda_m N_m$ , and instrumental phase delays for receiver and satellite, respectively  $\delta b_m$ ,  $\delta b'_m$ , and for frequencies  $m = 1, 2$ :

$$B_I = B_1 - B_2 = \lambda_1 N_1 - \lambda_2 N_2 + \delta b_1 - \delta b_2 + \delta b'_1 - \delta b'_2$$

In this context we can express the ionospheric combination of carrier phases, from top to bottom, as:

$$\begin{aligned} (L_I)_1 &= \alpha (2l_{1,1}N_1 + 2l_{1,2}N_2 + \dots + 2l_{1,x}N_x) + B_I \\ (L_I)_2 &= \alpha (2l_{2,1}N_1 + 2l_{2,2}N_2 + \dots + 2l_{2,x}N_x + 2l_{2,x+1}N_{x+1}) + B_I \\ (L_I)_3 &= \alpha (2l_{3,1}N_1 + 2l_{3,2}N_2 + \dots + 2l_{3,x}N_x + 2l_{3,x+1}N_{x+1}) + B_I \\ &\dots \\ (L_I)_6 &= \alpha (2l_{6,1}N_1 + 2l_{6,2}N_2 + \dots + 2l_{6,x}N_x + 2l_{6,x+1}N_{x+1} + 2l_{6,x+2}N_{x+2}) + B_I \\ &\dots \end{aligned} \tag{1}$$

The observation set of equations 1 can be summarized in matrix notation as  $Ax = b$ , where  $x = (N_1, \dots, N_x, \dots, B_I)^T$  and  $A_{j,k} = 2\alpha \cdot l_{j,k}$  for  $k < M$  and  $A_{j,M} = 1$  for the ambiguity coefficient, being  $\alpha = 1.05 \times 10^{-17} \text{ m}^3$  the scaling factor converting electron content into delay (see (Hernández-Pajares et al., 2011)) and being  $M$  the number of unknowns.

From these equations we cannot apply directly the Abel inversion algorithm (see for instance (Hernández-Pajares et al., 2000)), because the design matrix  $A$  is rank deficient due to the lack of observations above 500 km. In order to solve such rank-defect equations, the Vary-Chap model is added as constraint above 500 km, as it was indicated above, with parameters mainly estimated within the height range of 380 km to 430 km. The Vary-Chap model is based on the physics of the problem as it has been mentioned above, allowing an extrapolation compatible with the observational data.

The Vary-Chap model is based on a non-linear interaction between the parameters to be estimated. So in order to use it as prior, it is important to be aware of the difficulties related to the parameter estimation. The structure of the Vary-Chap model consists of two exponential terms of a variable  $z$ , as can be seen in Eq.(2). This variable  $z$  consists of terms that have to be estimated such as  $h_m$  and  $H$ . The estimation of these parameters by means of the conventional methods based on gradient search is difficult (Luenberger, Ye, et al., 1984). This difficulty is due to the fact that small variations in the parameters give rise to very large changes in the value of the derivative, caused by the dependencies inside the exponential functions. These large changes are explained by the different scale of the values of the parameters and also by the nonlinear terms of the expression, which can be summarized as a multiplicative interaction between the parameters (i.e.  $N_m$ ), the exponential of the inverse of a variable (i.e.  $e^{\frac{1}{H}}$ ) and a double exponential of  $z$ .

128 A family of estimation methods that is robust to the problems of differentiability  
 129 of the target function are the algorithms for minimization without derivatives. This fam-  
 130 ily of algorithm search for the optimum by comparing perturbations of a given candi-  
 131 date to the solution. The fact that instead of computing a derivative, they rely on com-  
 132 parisons, removes the problems related to the extreme variability of the derivatives, and  
 133 the different scale of the unknowns. There are different algorithms in this family such  
 134 as the Powell's method, the Nelder Mead algorithm and the pattern search, see for in-  
 135 stance (Press, Flannery, Teukolsky, Vetterling, et al., 1989). Due to the differences of scale  
 136 of the parameters to be estimated, we selected the algorithm that in principle is more  
 137 robust to this phenomenon, which is the Powell search method (Powell, 1964). This al-  
 138 gorihm was used in order to estimate the electron densities below 380 km, the ambigu-  
 139 ity term and four Vary-Chap parameters, which are peak height  $h_m$ , peak eletron den-  
 140 sity  $N_m$ , scale height at peak  $H_0$  and the derivative of scale height  $\partial H/\partial h$ , simultane-  
 141 ously. Afterwards, the electron densities above 380 km are updated from the Vary-Chap  
 142 model using the estimated parameters. The expression that relates the electron density  
 143  $N$ , with the height  $h$ , is the following,

$$144 \quad N = N_m e^{\frac{1}{2}(1-z-e^{-z})}, \quad \text{where } z = \frac{h-h_m}{H} \quad (2)$$

$$145 \quad H = \frac{\partial H}{\partial h}(h-h_m) + H_0 \quad (3)$$

147 where  $N$  and  $H$  represent the electron density and scale height at height  $h$  above peak  
 148 respectively.

149 The cost function of powell search is mainly composed of two terms: one is  $\|Ax - b\|^2$   
 150 and the other is the difference with respect to a reference estimate  $x_0$ , weighted by  $\lambda$  which  
 151 is a regularization parameter,  $\lambda\|x - x_0\|^2$ . The regularization parameter  $\lambda$  controls the  
 152 smoothness of the estimation. Besides, additional penalization terms on  $h_m$ ,  $N_m$ ,  $H_0$  and  
 153  $\partial H/\partial h$  are added to the cost function to constrain Vary-Chap parameters in a realis-  
 154 tic range.

155 The unknown vector  $x$  in equation  $Ax = b$  is composed to three parts  $x^1$ (electron  
 156 densities from 380 km and 1000 km),  $x^2$  (electron densities below 380 km) and  $x^{ambi}$ .  
 157 The iterative algorithm for the estimation is described as follows:

- 158 1. Initial electron density profile below 500 km and ambiguity term are derived from  
 159 Abel inversion and then the full profile is extrapolated to 1000 km. These values  
 160 define the vector  $x_0$ . Next we iterate updating this vector  $x_0 = [x_0^1, x_0^2, x_0^{ambi}]$   
 161 each time, following next point.
- 162 2. The terms  $x_0^2$  (current estimate of the electron densities below 380 km) and  $x_0^{ambi}$ ,  
 163 are extracted from current unknowns  $x_0$ , together with  $x_0^1$ , which is calculated with  
 164 the Vary-Chap model's parameters  $[h_m, N_m, H_0, \partial H/\partial h]_0$ . These parameters are  
 165 initialized at the beginning with typical values:  $h_m$  and  $N_m$  are derived from the  
 166 very first Abel inversion neglecting the electron content above 500 km,  $H_0 = 30$  km  
 167 and  $\partial H/\partial h = 0.05$  from (Olivares-Pulido et al., 2016). They form the  $x_{powell}$ .
- 168 3. The cost function of the Powell search is the sum of  $\|Ax_{powell} - b\|^2$  and  $\lambda\|x_{powell} - x_0\|^2$ ,  
 169 where  $\lambda$  is obtained from the ratio of both estimated standard deviations in the  
 170 previous iteration: the one from post-fit residuals vs the one for peak electron den-  
 171 sity. And the Powell algorithm is applied in order to estimate un update of the  
 172 values  $[x^2, x^{ambi}, h_m, N_m, H_0, \partial H/\partial h]$ .
- 173 4. The solution at the  $i$ th iteration  $x_{powell_i}$  can be computed by the searched vec-  
 174 tor  $[x^2, x^{ambi}, h_m, N_m, H_0, \partial H/\partial h]_i$ . Then we update  $x_0$  by assigning  $x_{powell_i}$  to  
 175  $x_0$  and we go to step 2. Empirically 10 iterations are performed in order to en-  
 176 sure the convergence of the algorithm.

### 3 Simple Estimation of Electron density profiles from topside Incomplete Radio Occultation data (SEEIRO)

This is the second method that we introduce in this paper which, in contrast with the preceding one, trades accuracy with speed. The SEEIRO algorithm, iteratively estimates the electron density profiles under the assumption of an exponential behaviour of the electron density among consecutive values in height. In this way, one variable scale height per topside height below 500 km can be easily obtained without the knowledge of  $h_m$  and  $N_m$ , and the Linear Vary-Chap model can be fitted from them and used for extrapolation, and correcting the  $L_I$  observations for next iteration.

In the first iteration,  $i = 0$ , the system is initialized using only the Abel inversion of the available measurements below  $r_0 = 500$ km, and neglecting the electron density for higher altitudes,  $r_0 < r < r_L$ , and estimating simultaneously the carrier phase ambiguity,  $B_I^0$  and the electron density  $N_e^0(r_k)$ , for  $k = 1, \dots, M$ , being  $M$  the number of layers defined with a width of  $\Delta r$  (e.g.  $\Delta r = 3$ km).

In the subsequent iterations  $i$ , we focus now on the top values of the previous ( $i-1$ ) solution, above the  $F_2$  peak geocentric distance  $r_{mF2}$  and below the highest available geocentric distance  $r_0$  and with a tolerance  $\epsilon$ :  $N_e^{(i-1)}(r_k)$  for  $r_{mF2} + \epsilon \leq r \leq r_0 - \epsilon$ . From these values we estimate the scale height, assumed constant for each pair of consecutive values only. Indeed, we can approximate the Chapman function, equation 4, by the exponential approximation specially valid when  $z \gg 1$ :

$$N = N_m e^{\frac{1}{2}(1-z)} \quad (4)$$

Then we can obtain the corresponding scale height values without the dependence on the  $F_2$  peak height and density values, from two consecutive values:

$$H(r_k) \simeq \frac{-\Delta r}{2 \cdot \ln \frac{N_e^{(i-1)}(r_k)}{N_e^{(i-1)}(r_k - \Delta r)}} \quad (5)$$

From the series of scale height values  $H(r_k)$  the linear fit is performed following equation 3 removing iteratively outliers with residual greater than 2.5 times its standard deviation.

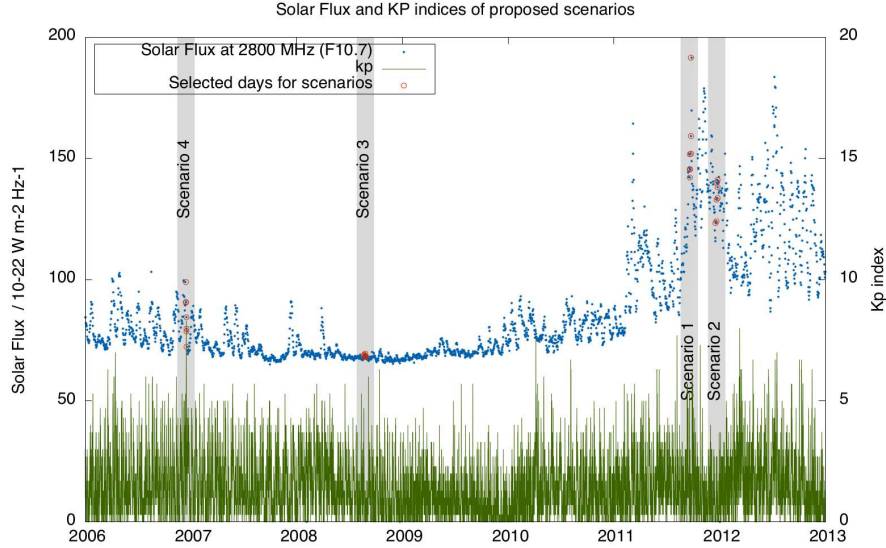
From the resulting linear model, the scale height is extrapolated, and a constant value  $H_0$  is adopted when the estimated vertical gradient is not positive, i.e. approximately in the 10% of cases. Afterwards, the electron density is consistently obtained for  $r > r_0$ , with the exponential approximation equivalent to equation 5 i.e.:

$$N_e(r) = N_e(r - \Delta r) \cdot e^{-\frac{\Delta r}{2[H_0 + \frac{\partial H}{\partial h}(h - h_m)]}} \quad (6)$$

From these values, in the given iteration, the STEC between  $r_0$  and  $r_L$  is mitigated within the measurements with impact factor below  $r_0$ , and a new Abel inversion is performed repeating the same procedure described above, up to 10 times. This number of iterations is an optimal value empirically obtained, which ensures the convergence.

### 4 Estimation assessment

In order to assess the performance of both AVHIRO and SEEIRO in the height range corresponding to the observations impact parameter heights (below 500 km), we have considered the selected set of 570 radio-occultations corresponding to the first day of each one of the four weeks studied in (Hernández-Pajares et al., 2017). They are representative of the previous solar cycle (see Figure 2).



**Figure 2.** Solar Flux, Kp index during the four selected periods, extracted from (Hernández-Pajares et al., 2017).

219 One first illustrative example is shown in Figure 3. The performance of one typ-  
 220 ical occultation retrieval is compared between not applying (initial values) and apply-  
 221 ing these new techniques (last iteration). It can be seen that, in this case, the error goes  
 222 down from 45-46%, to 1.3% with AVHIRO and 10.0% with SEEIRO. We will see below  
 223 that these final relative errors are not far from the most frequent ones.

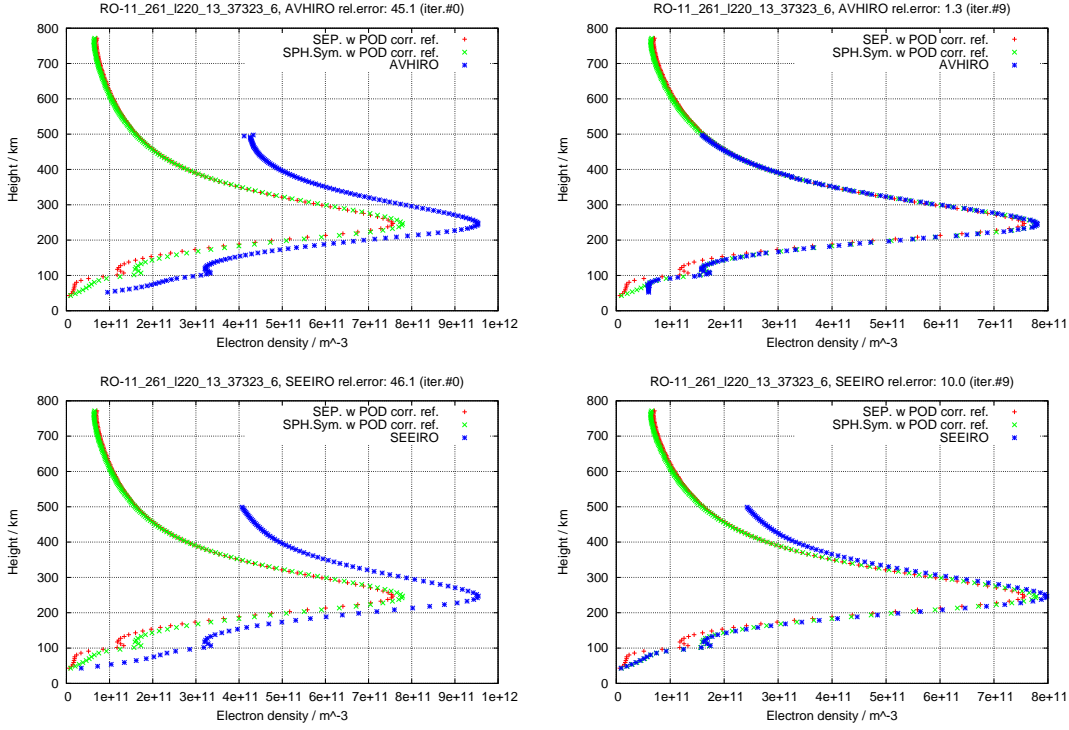
224 We have considered the first day of each one of the four representative periods: Namely  
 225 day 346 of year 2006 (low solar flux, before a major geomagnetic storm), day 234 of year  
 226 2008 (low-mid solar flux), and days 261 and 352 of year 2011 (high solar flux). The com-  
 227 parison of the absolute and relative error RMS for AVHIRO are respectively shown in  
 228 Figures 4 and 5. The error reduces from  $1.0 \times 10^{11} \pm 1.3 \times 10^{11} \text{m}^{-3}$  (51.6% of RMS)  
 229 in the initial iteration to  $1.5 \times 10^{10} \pm 2.6 \times 10^{10} \text{m}^{-3}$  (13.1% of RMS) in the final one.

230 The histogram peak, i.e. the mode, of relative error is 3% for AVHIRO versus 6-  
 231 10% for SEEIRO (see Figure 6). Once we remove the values with relative error higher  
 232 than 20% (23 of 570 radio-occultations, i.e. the 4% of values) the relative error decreases  
 233 to 7.2%, clearly below the corresponding value for SEEIRO: 10.6% (see again Figure 6).  
 234 Nevertheless SEEIRO is 70 times faster than AVHIRO, with an average processing time  
 235 per radio occultation in our Linux I7 PC of 15 seconds, vs 20 minutes with AVHIRO.  
 236

237 These results strongly suggest that SEEIRO and AVHIRO techniques are appropri-  
 238 ate, respectively, for near real-time and postprocessing determination of electron den-  
 239 sity profiles from topside-truncated radio occultation data. A comparison of the main  
 240 characteristics of both techniques is summarized in Table 1.

## 241 5 Extrapolation assessment

242 Although the area below 500 km, tackled in previous section, is the main target  
 243 of this work, the extrapolation precision for the topside part (above 500 km in this work)  
 244 should be examined, since the electron densities in the blind area have a non-negligible  
 245 impact on the retrieval. In AVHIRO method, the full electron densities are estimated

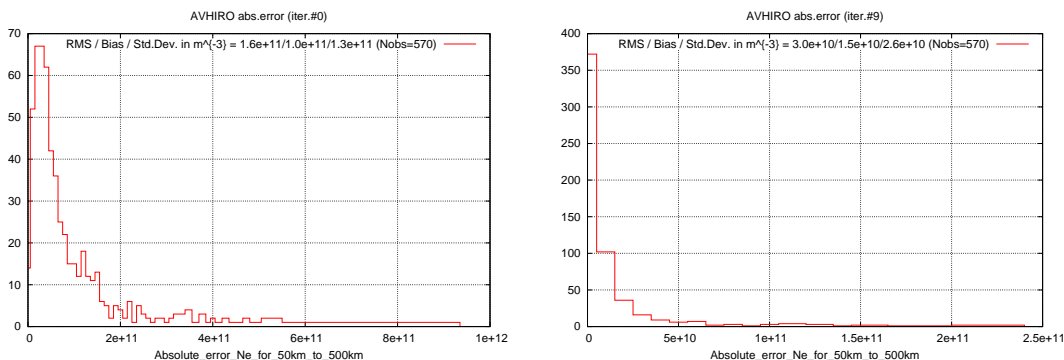


**Figure 3.** Example of the electron density (blue points) obtained from the measurements below 500 km of impact parameter height, with the AVHIRO (top row) and SEEIRO (bottom row) approaches, comparing the first and last iteration included in left- and right-hand columns respectively. It corresponds to a single radio-occultation, of satellite PRN13 with measurements from COSMIC/FORMOSAT-3 receiver L261 starting on second 37323 of day 261 of year 2011. They are compared with two different solutions obtained from the complete set of measurements. The first one has been obtained by applying Abel inversion under the assumption of spherical Symmetry (green points) and the second one modelling the horizontal variability with the Separability concept mentioned above; the profile corresponding to hmF2 tangent point is represented with red points. In both reference cases POD-data based LEO topside corrections have been applied.

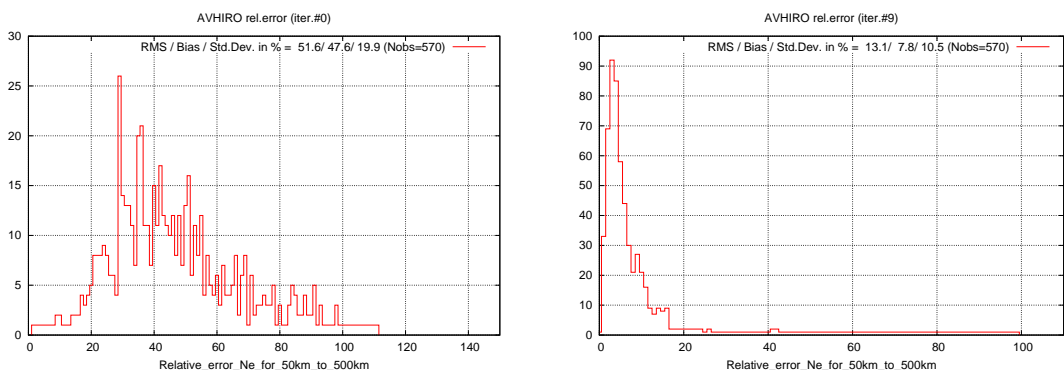
246 simultaneously, with a full linear Vary-Chap model for the topside part of the electron  
 247 density profile, instead of by two steps to separate the observed and blind area. Hence  
 248 the topside assessment can to some extent reflect the performance of Vary-Chap model,  
 249 which is shown in this section for completeness.

250 From absolute errors histogram (see Figure 7), the performance in the blind area  
 251 is a little worse than but comparable to that in the area below 500 km, with bias  $2.0 \times$   
 252  $10^{10} \text{ m}^{-3}$  and standard deviation  $4.0 \times 10^{10} \text{ m}^{-3}$ , which are in the same magnitude as  
 253 those in the lower part. While the relative errors (see Figure 8) are quite large with 53.3%  
 254 of RMS compared to 13.1% in the observed area. This can be easily explained by tak-  
 255 ing into account that the electron densities above 500 km are quite small and the sam-  
 256 ple number is limited for statistics, so the small absolute errors of electron density could  
 257 produce big relative errors. For example, the four cases in Figure 9 show that, even with  
 258 high relative error (93.1%), the extrapolation results by Vary-Chap model are very close  
 259 to reference values, with  $8.9 \times 10^9 \text{ m}^{-3}$  of absolute error inside error bars. Therefore,

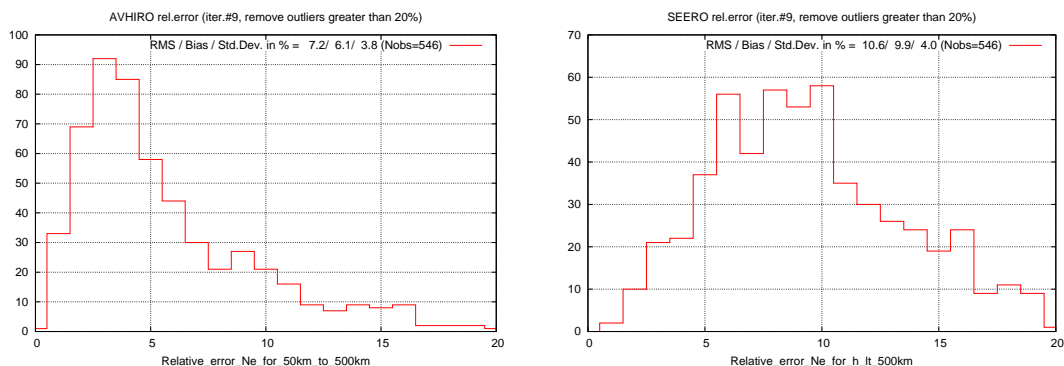




**Figure 4.** Histogram of the electron density error RMS values, one per occultation and expressed in  $\text{m}^{-3}$ . They correspond to the initial (left-) and final (right-hand plot) iteration of AVHIRO, for the selected COSMIC/FORMOSAT-3 radio-occultations during days 346 of year 2006, 234 of year 2008, and days 261 and 352 of year 2011.



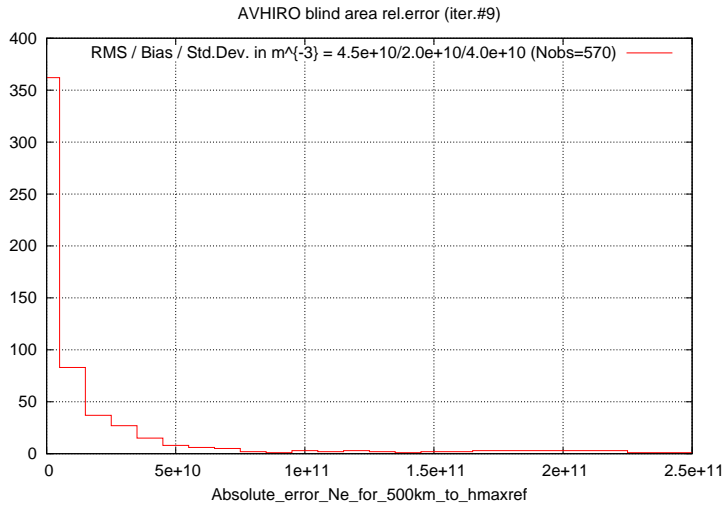
**Figure 5.** Histogram of the relative electron density error RMS values, one value per occultation and expressed in %. They correspond to the initial (left-) and final (right-hand plot) iteration of AVHIRO, for the selected COSMIC/FORMOSAT-3 radio-occultations during days 346 of year 2006, 234 of year 2008, and days 261 and 352 of year 2011.



**Figure 6.** Histogram of the relative electron density error RMS values, one per occultation and expressed in %, focused on the values smaller than 20%, the 96% of the analyzed radio-occultations. They correspond to the final iterations of AVHIRO (left-hand plot) and of SEIRO (right-hand plot), for the selected COSMIC/FORMOSAT-3 radio-occultations during days 346 of year 2006, 234 of year 2008, and days 261 and 352 of year 2011.

**Table 1.** Pros and Cons of AVHIRO vs SEEIRO: Summary

	AVHIRO	SEEIRO
Ne Relative Accuracy	7%	11%
Predominant Ne Rel. Acc.	3%	6-10%
Ne Absolute Accuracy	$(1.5 \pm 2.6) \times 10^{10} \text{m}^{-3}$	$(3.9 \pm 2.3) \times 10^{10} \text{m}^{-3}$
Predominant Ne Abs. Acc.	$< 10^{10} \text{m}^{-3}$	$10^{10} \text{m}^{-3}$
CPU time per preprocessed RO	20 minutes	15 seconds
Suitable for NRT service?	Not now	Yes
Required ancillary information?	No	No
Required inputs	2-freq. GPS carrier phase meas., predicted GPS and LEO orbits (both)	
Convenient inputs	2-freq. GPS POD carrier phase meas. (both)	



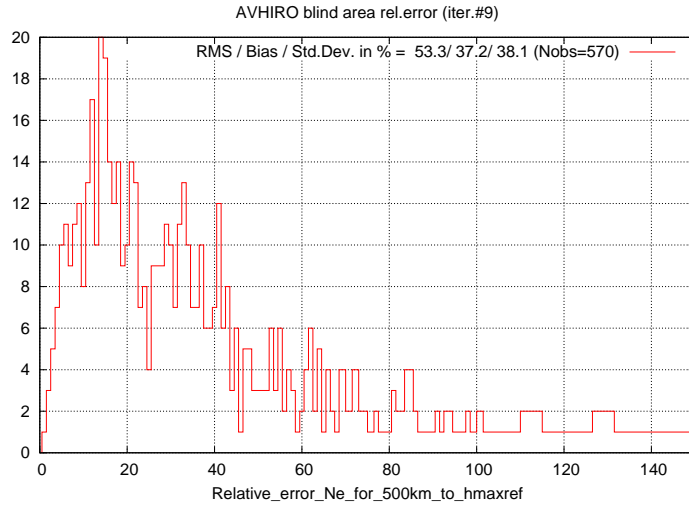
**Figure 7.** Histogram of the absolute topside electron density error RMS values, one value per occultation and expressed in  $\text{m}^{-3}$ . They correspond to the final iteration of AVHIRO, for the selected COSMIC/FORMOSAT-3 radio-occultations during days 346 of year 2006, 234 of year 2008, and days 261 and 352 of year 2011.

260 this proves good performance of Vary-Chap model in simultaneously extrapolating the  
 261 topside electron density as well.

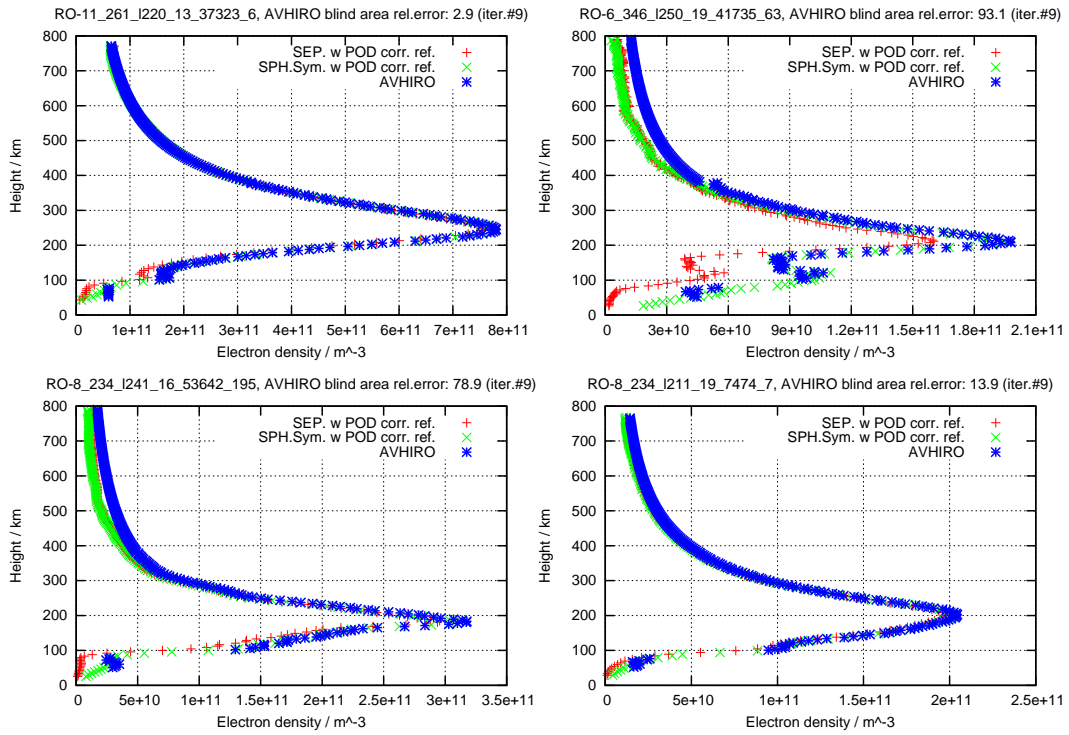
## 262 6 Conclusions

263 In this work we have presented a new Abel-VaryChap Hybrid modeling from top-  
 264 side Incomplete RO data (AVHIRO). This can complete the set of algorithms for iono-  
 265 spheric electron density retrieval from GPS RO data in EPS-SG with lack of measure-  
 266 ments for impact parameter heights above 500 km, as a new post-processing technique.

267 AVHIRO reduces, without the need of external data, the electron density error of  
 268 the RO inversion with measurements up to 500 km regarding to the full inversion with  
 269 observations up to 800 km: from 51.6% before, to 13.1% percentage of electron density  
 270 RMS after applying AVHIRO. In particular it reduces the predominant relative error to  
 271 3% compared with the 6-10% obtained with the fast Simple Estimation of Electron den-



**Figure 8.** Histogram of the relative topside electron density error RMS values, one value per occultation and expressed in %. They correspond to the final iteration of AVHIRO, for the selected COSMIC/FORMOSAT-3 radio-occultations during days 346 of year 2006, 234 of year 2008, and days 261 and 352 of year 2011.



**Figure 9.** Four representative cases showing the EDP obtained with AVHIRO applied to the COSMIC/FORMOSAT-3 measurements below 500 km, compared with the EDP obtained from the full RO dataset.

sity profiles from topside Incomplete RO data (SEEIRO) approach. Moreover AVHIRO provides simultaneously the linear Vary-Chapman extrapolated electron density profile with accuracies just slightly lower than those obtained at heights below 500 km with observations:  $(2.0 \pm 4.0) \times 10^{10} \text{ m}^{-3}$  above vs  $(1.5 \pm 2.6) \times 10^{10} \text{ m}^{-3}$  below 500 km.

Further potential improvements of the technique can be studied in future works, in particular to try to speed up AVHIRO to be hopefully suitable for NRT.

## Acknowledgments

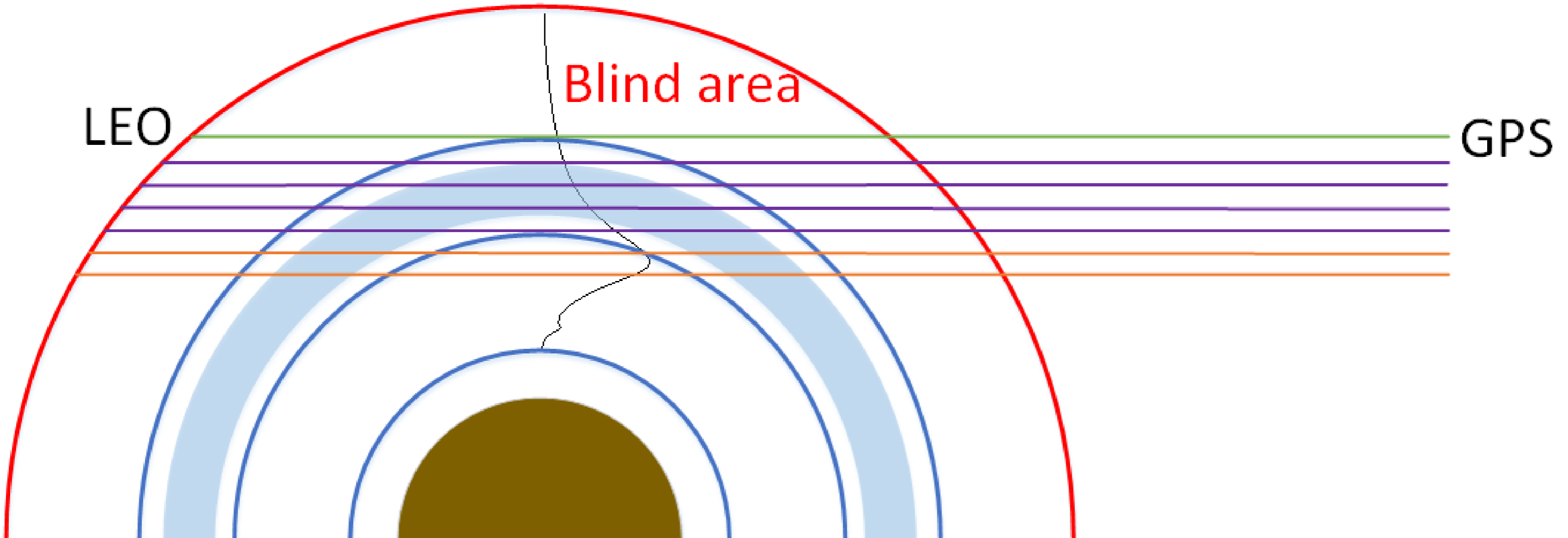
The authors are grateful to UCAR (United States) and NSPO (Taiwan) for providing the FORMOSAT-3/COSMIC RO data (<http://cdaac-www.cosmic.ucar.edu/>). The data used in this work is available at [ftp://chapman.upc.es/.AVHIRO\\_and\\_SEEIRO\\_results](ftp://chapman.upc.es/.AVHIRO_and_SEEIRO_results) or alternatively it can be requested to Manuel Hernández-Pajares ([manuel.hernandez@upc.edu](mailto:manuel.hernandez@upc.edu)). The activity has been supported by the Radio-Occultation Meteorology Satellite Application Facility (ROM SAF), which is a decentralized operational RO processing center under EUMETSAT. The work of the first author has been partially supported by the grant from the Chinese Scholarship Council.

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Figure 1.



LEO

Blind area

GPS

Figure 2.



Solar Flux and KP indices of proposed scenarios

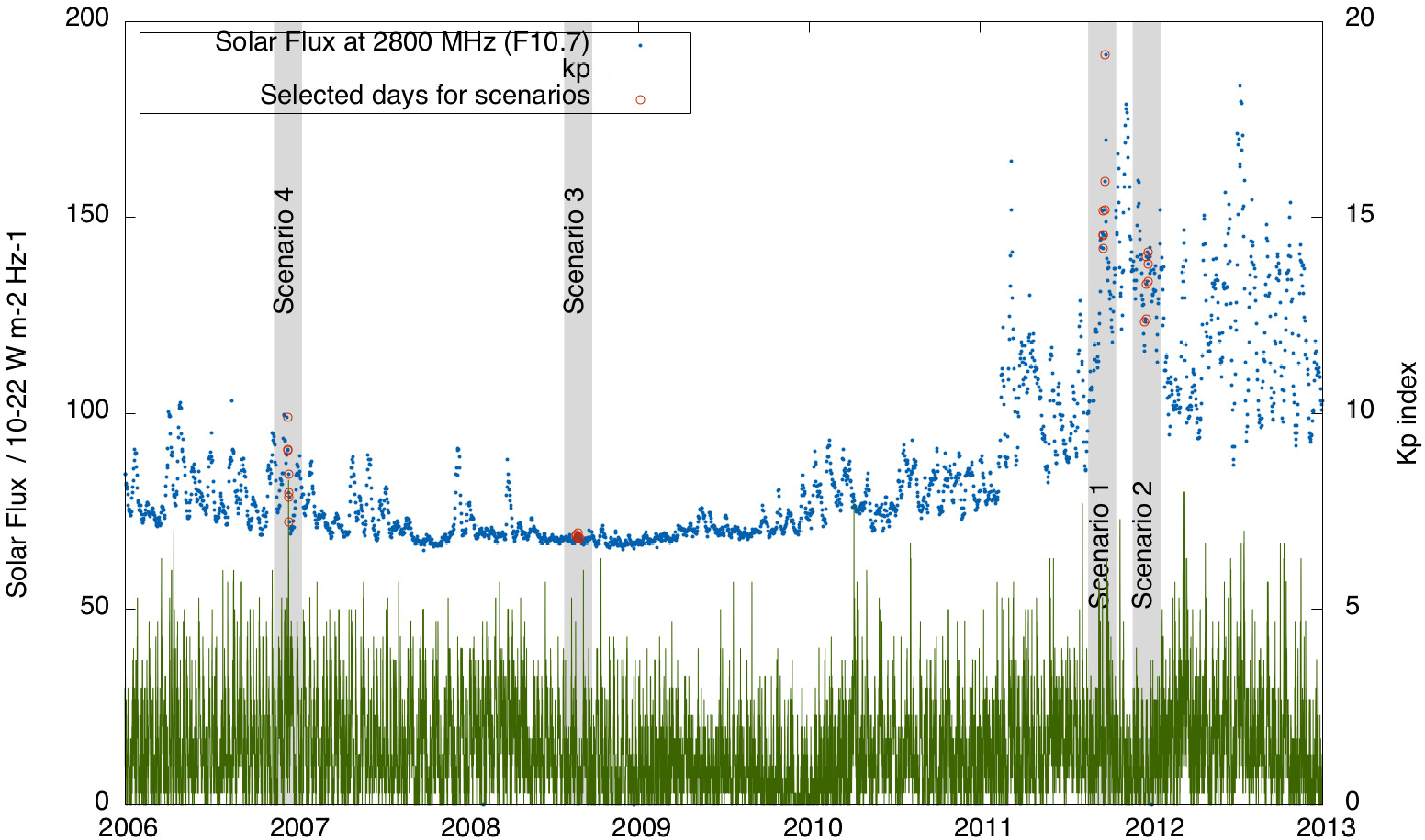
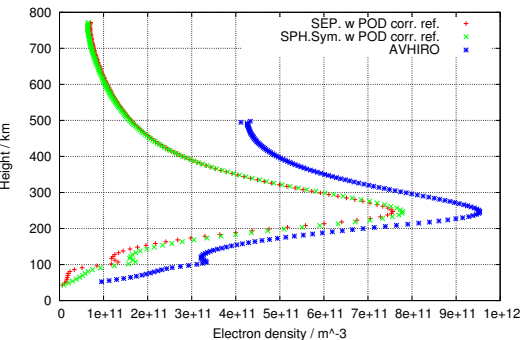
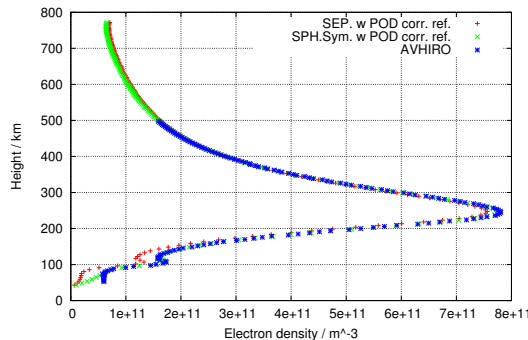


Figure 3.

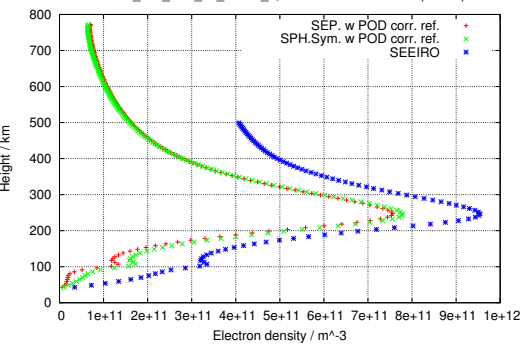
RO-11\_261\_I220\_13\_37323\_6, AVHIRO rel.error: 45.1 (iter.#0)



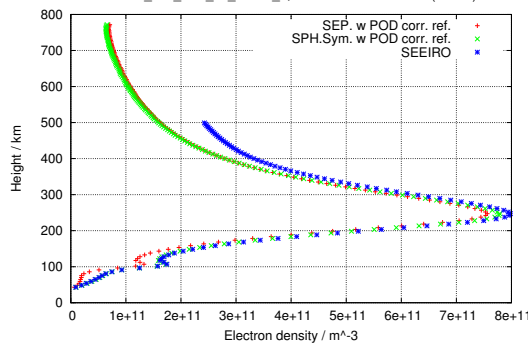
RO-11\_261\_I220\_13\_37323\_6, AVHIRO rel.error: 1.3 (iter.#9)



RO-11\_261\_I220\_13\_37323\_6, SEEIRO rel.error: 46.1 (iter.#0)

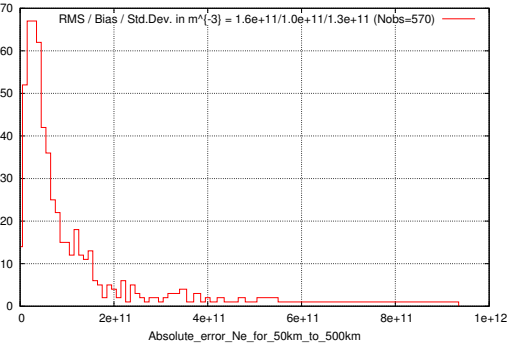


RO-11\_261\_I220\_13\_37323\_6, SEEIRO rel.error: 10.0 (iter.#9)



**Figure 4.**

AVHIRO abs.error (iter.#0)



AVHIRO abs.error (iter.#9)

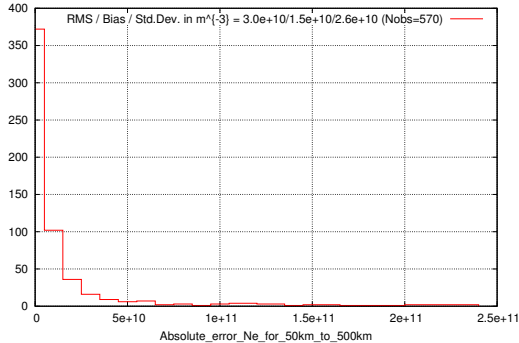
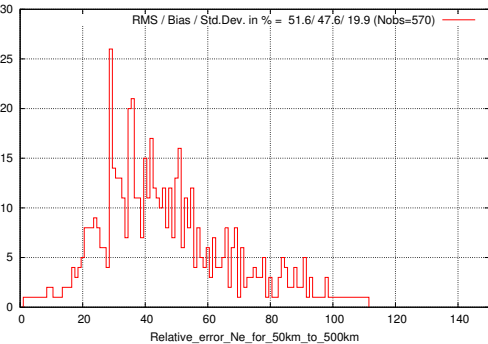


Figure 5.

AVHIRO rel.error (iter.#0)



AVHIRO rel.error (iter.#9)

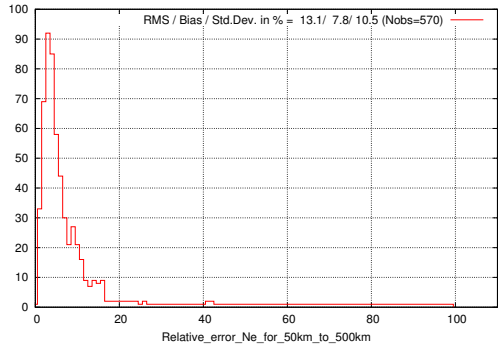
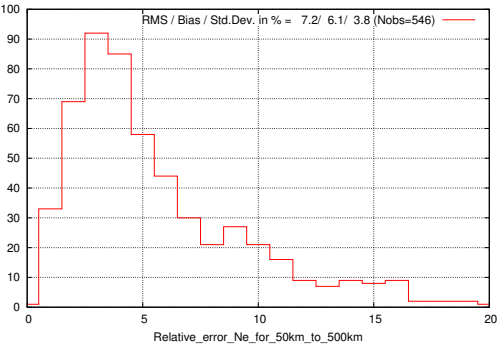


Figure 6.



AVHIRO rel.error (iter.#9, remove outliers greater than 20%)



SEERO rel.error (iter.#9, remove outliers greater than 20%)

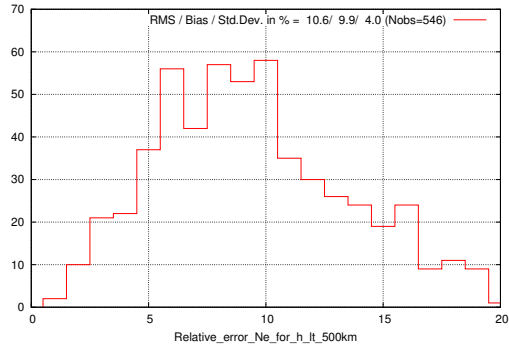


Figure 7.

# AVHIRO blind area rel.error (iter.#9)

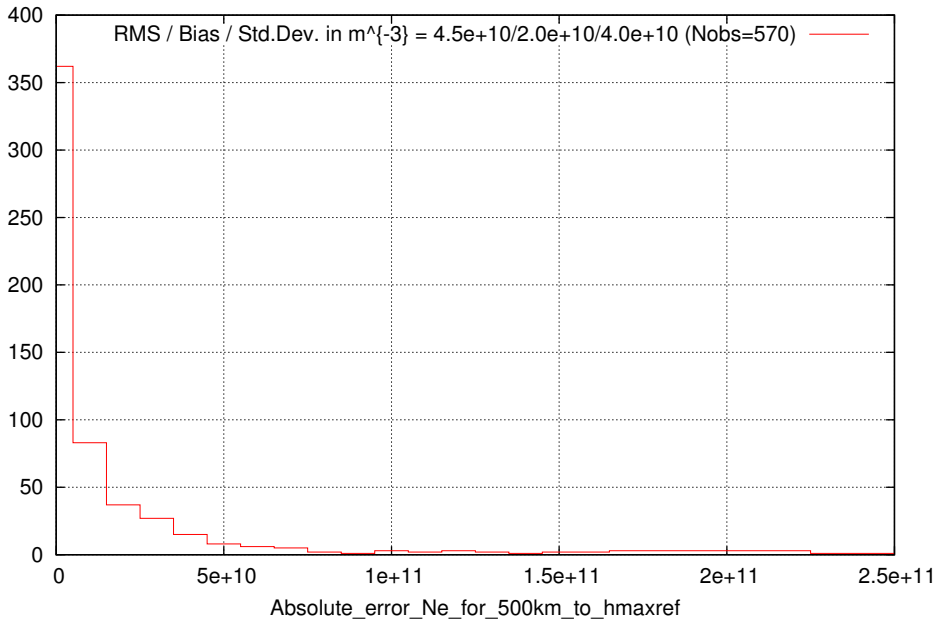


Figure 8.

# AVHIRO blind area rel.error (iter.#9)

RMS / Bias / Std.Dev. in % = 53.3/ 37.2/ 38.1 (Nobs=570)

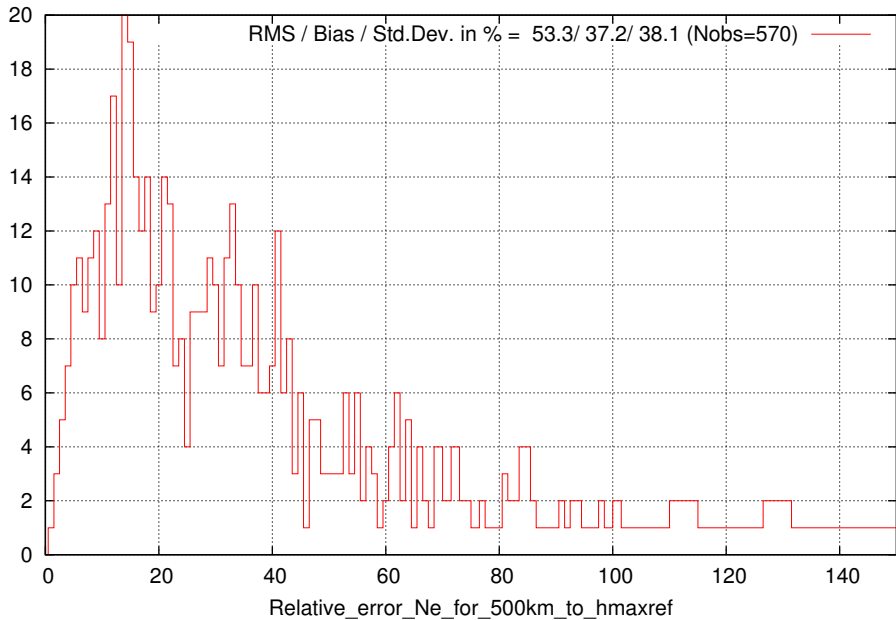
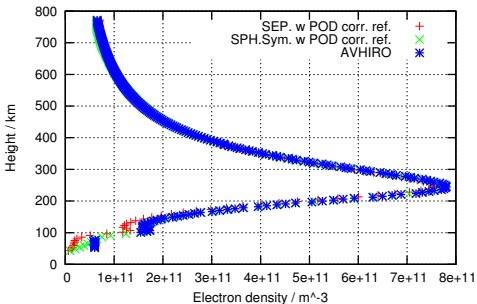
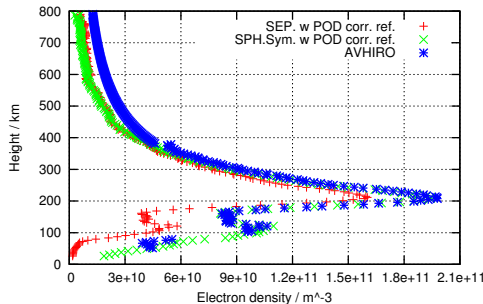


Figure 9.

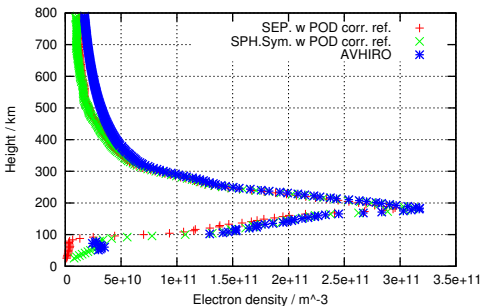
RO-11\_261\_I220\_13\_37323\_6, AVHIRO blind area rel.error: 2.9 (iter.#9)



RO-6\_346\_I250\_19\_41735\_63, AVHIRO blind area rel.error: 93.1 (iter.#9)



RO-8\_234\_I241\_16\_53642\_195, AVHIRO blind area rel.error: 78.9 (iter.#9)



RO-8\_234\_I211\_19\_7474\_7, AVHIRO blind area rel.error: 13.9 (iter.#9)

